



About

eTrading is a unique workflow solution for managing Employee Investments and Holding Information for a Stock Broking Company to ensure compliance as per SEBI'S employee trading regulations.

Key Features

1. Handles all aspects of Trade

Capable of handling trades related to Secondary Market and IPO requests.

2. Organization's Policies

In addition to SEBI's policies on Employee investments and Holdings, eTrading can be readily customized to handle the Organization's group policies.

3. Automated Approvals

The system can provide auto approvals to employees based on Grey List and Restricted list uploaded by Compliance Department.

4. Quarterly/ Annual Holding Declarations

eTrading has provisions for declaration of Quarterly/ Annual Holdings, enabling the employees to directly print Holding Statements in the required formats to be submitted to the Compliance Department.

5. Auto Reminders

eTrading has an in-built facility to provide auto reminders for Employee/ Compliance Department regarding all approvals/ data updates.

6. Automatic Reconciliation of Holding

The transactions performed by all the employees for the day can be picked directly from your back office systems and can be reconciled to update the holding information of every employee.

7. Ease of data integration

eTrading can handshake with your organization's Operational Systems to integrate data for Employee, Scrips and Grey List details.

8. Web based Solution

eTrading is a browser based solution with zero deployment overheads on user PC.

9. Active Directory Integration

Application Logins can be created based on Active Directory Services of Windows.

Workflow

